INSTITUTIONS FORMATS

FOR THE TRANSFER OF DATA

VERSION 6c
Effective April 2015

Changes from the previous version are highlighted in yellow.

PRESENTATION FILE:
Data Transfer and Retention
Data may be transferred in any of the following ways
> Electronically
> CD Rom
> Memory stick

It is the responsibility of the sending organisation to agree with the receiving organisation encryption method, data density, character set and blocksize if appropriate.
All records are fixed length of *150* bytes excluding the end of line marker.

File characteristics
> H   Header record
> P   Personal details' record
> S   Subject details' record
> T   Trailer record

Item (S) repeats, as appropriate, within candidate; groups of (P) and (S) repeat for all candidates associated with the transfer.

Physical label
Each file sent as a CD Rom or memory stick should contain an external label giving details of the data transferred. This should contain*:
> Sending organisation code
> Receiving organisation code
> Data type
> Examination type
> Date of examination series

RESULTS FILE:
Data Transfer and Retention
Data may be transferred in any of the following ways
> Electronically
> CD Rom
> Memory stick

It is the responsibility of the sending organisation to agree with the receiving organisation encryption method, data density, character set and blocksize if appropriate.
All records are fixed length of *300* bytes excluding the end of line marker.

File characteristics
> H   Header record
> P   Personal details' record
> S   Subject details' record
> T   Trailer record

Item (S) repeats, as appropriate, within candidate; groups of (P) and (S) repeat for all candidates associated with the transfer.

Physical label
Each file sent as a CD Rom or memory stick should contain an external label giving details of the data transferred. This should contain*:
> Sending organisation code
> Receiving organisation code
> Data type
> Examination type
> Date of examination series

AMENDMENTS FILE:
Transfer medium
Files will be transferred *electronically*.
All records are fixed length of *300* bytes excluding the end of line marker.

GCE UNIT RESULTS FILE:

Data Transfer and Retention

Data may be transferred in any of the following ways

> Electronically
> CD Rom
> Memory stick

It is the responsibility of the sending organisation to agree with the receiving organisation encryption method, data density, character set and blocksize if appropriate.

All records are fixed length of *170* bytes excluding the end of line marker.

File characteristics

> H Header record
> P Personal details' record
> S Subject details' record
> T Trailer record

Item (S) repeats, as appropriate, within candidate; groups of (P) and (S) repeat for all candidates associated with the transfer.

Physical label

Each file sent as a CD Rom or memory stick should contain an external label giving details of the data transferred. This should contain*:

> Sending organisation code
> Receiving organisation code
> Data type
> Examination type
> Date of examination series

File Name: (e.g. U01A0600.001)

Content	Type
Record type (U)	1A
Sending organisation code	2N
Examination type (A)	1A
Date of examination series (mmyy)	4N
Sequence number specifies the order in which files have been produced (nnn)*	3N

- *use nnn rather than Xnn to help identify non-Formats Book file format*

DIPLOMA BASEDATA FILE

Data Transfer and Retention

Data may be transferred in any of the following ways

> Electronically
> CD Rom
> Memory stick

It is the responsibility of the sending organisation to agree with the receiving organisation encryption method, data density, character set and blocksize if appropriate.

All records are fixed length of 91 bytes excluding the end of line marker.

File characteristics

The file will be made up of the following record types.

Record Type	Record Description		
G1 G3	File Header Centre Header		Occur once each at the start of the file
G5	Detail	}	Repeats for each qualification in the file
G7 G9	Centre Trailer File Trailer		Occur once each at the end of the file

Physical label

Each file sent as a CD Rom or memory stick should contain an external label giving details of the data transferred. This should contain:

> Sending organisation code
> Receiving organisation code
> Diploma level(s) included

DIPLOMA RESULTS FILE

Data Transfer and Retention

Data may be transferred in any of the following ways

> Electronically
> CD Rom
> Memory stick

It is the responsibility of the sending organisation to agree with the receiving organisation encryption method, data density, character set and blocksize if appropriate.

All records are fixed length of 106 bytes excluding the end of line marker.

File characteristics

The file will be made up of the following record types.

Record Type	Record Description		
H1	File Header		Occurs once at the start of the file
H3 H5 H7	Centre Header Detail (as many as required) Centre Trailer	}	Sequence repeats for each centre in the file
H9	File Trailer		Occurs once at the end of the file

Files will start with a file header record. There will then follow a repeating sequence of centre header record, detail records and centre trailer record. The last record will be the file trailer.

Physical label

Each file sent as a CD Rom or memory stick should contain an external label giving details of the data transferred. This should contain:

> Sending organisation code
> Receiving organisation code
> Data type
> Diploma level(s) included

PRESENTATION FILE

Header record

Start	Length	Content	Type	Notes
1	1	Record type (H)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Date and time file created	Numeric	6
22	129	Blanks	Alphanumeric	

Personal details' record

Start	Length	Content	Type	Notes
1	1	Record type (P)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	40	Candidate Name	Alphanumeric	9
57	1	Sex	Alphanumeric	10
58	6	Date of Birth	Numeric	11
64	1	External/Private indicator	Alphanumeric	12
65	13	UCI	Alphanumeric	20
78	13	UPN	Alphanumeric	21
91	10	ULN	Numeric	26
101	50	Blank	Alphanumeric	

Subject details' record

Start	Length	Content	Type	Notes
1	1	Record type (S)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	6	Entry subject/option/syllabus code	Alphanumeric	13
23	100	Entry subject/option/syllabus title	Alphanumeric	45
123	1	Blank	Alphanumeric	
124	8	QAN	Alphanumeric	27
132	6	First Entry Date	Numeric	46
138	4	Pathways	Alphanumeric	28
142	9	Blank	Alphanumeric	

Trailer record

Start	Length	Content	Type	Notes
1	1	Record type (T)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Count	Numeric	16
22	129	Blanks	Alphanumeric	

RESULTS FILE

Header record

Start	Length	Content	Type	Notes
1	1	Record type (H)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Date and time file created	Numeric	6
22	279	Blanks	Alphanumeric	

Personal details' record

Start	Length	Content	Type	Notes
1	1	Record type (P)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	40	Candidate Name	Alphanumeric	9
57	1	Sex	Alphanumeric	10
58	6	Date of Birth	Numeric	11
64	1	External/Private indicator	Alphanumeric	12
65	13	UCI	Alphanumeric	20
78	13	UPN	Alphanumeric	21
91	10	ULN	Numeric	26
101	200	Blanks	Alphanumeric	

Subject details' record

Start	Length	Content	Type	Notes
1	1	Record type (S)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	6	Entry subject/option/syllabus code	Alphanumeric	13
23	100	Entry subject/option/syllabus title	Alphanumeric	45
123	1	Blank	Alphanumeric	
124	6	Result subject/option /syllabus code	Alphanumeric	13
130	100	Result subject/option/syllabus title	Alphanumeric	45
230	8	QAN	Alphanumeric	27
238	6	First Entry Date	Numeric	46
244	4	Pathways	Alphanumeric	28
248	2	First grade	Alphanumeric	14
250	2	Second grade	Alphanumeric	14
252	2	Endorsement to First grade	Alphanumeric	14
254	2	Endorsement to Second grade	Alphanumeric	14
256	2	Blanks	Alphanumeric	
258	1	First grade partial absence	Alphanumeric	15
259	1	Second grade partial absence	Alphanumeric	15
260	1	Endorsement to first grade partial absence	Alphanumeric	15
261	1	Endorsement to second grade partial absence	Alphanumeric	15
262	39	Blanks	Alphanumeric	

Trailer record

Start	Length	Content	Type	Notes
1	1	Record type (T)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Count	Numeric	16
22	279	Blanks	Alphanumeric	

AMENDMENTS FILE

Header record

Start	Length	Content	Type	Notes
1	1	Record type (H)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Date and time file created	Numeric	6
22	3	Sequence	Numeric	
25	276	Blanks	Alphanumeric	

Personal details' record

Start	Length	Content	Type	Notes
1	1	Record type (P)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	40	Candidate Name	Alphanumeric	9
57	1	Sex	Alphanumeric	10
58	6	Date of Birth	Numeric	11
64	1	External/Private indicator	Alphanumeric	12
65	13	UCI	Alphanumeric	20
78	13	UPN	Alphanumeric	21
91	10	ULN	Numeric	26
101	200	Blanks	Alphanumeric	

Amendment details' record

Start	Length	Content	Type	Notes
1	1	Record type (**A**)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	6	Entry subject/option code	Alphanumeric	13
23	100	Entry subject/option/syllabus title	Alphanumeric	45
123	1	Blank	Alphanumeric	
124	6	Result subject/option /syllabus code	Alphanumeric	13
130	100	Result subject/option/syllabus title	Alphanumeric	45
230	8	QAN	Alphanumeric	27
238	6	First Entry Date	Numeric	46
244	4	Pathways	Numeric	28
248	1	Transaction Type	Alphanumeric	17
249	2	First grade	Alphanumeric	14, 18
251	2	Second grade	Alphanumeric	14, 18
253	2	Endorsement to First grade	Alphanumeric	14, 18
255	2	Endorsement to Second grade	Alphanumeric	14, 18
257	2	Blanks	Alphanumeric	
259	1	First grade partial absence	Alphanumeric	15, 18
260	1	Second grade partial absence	Alphanumeric	15, 18
261	1	Endorsement to first grade partial absence	Alphanumeric	15, 18
262	1	Endorsement to second grade partial absence	Alphanumeric	15, 18
263	1	Blank	Alphanumeric	
264	2	First grade	Alphanumeric	14, 19
266	2	Second grade	Alphanumeric	14, 19
268	2	Endorsement to First grade	Alphanumeric	14, 19
270	2	Endorsement to Second grade	Alphanumeric	14, 19
272	2	Blanks	Alphanumeric	

274	1	First grade partial absence	Alphanumeric	15, 19
275	1	Second grade partial absence	Alphanumeric	15, 19
276	1	Endorsement to first grade partial absence	Alphanumeric	15, 19
277	1	Endorsement to second grade partial absence	Alphanumeric	15, 19
278	23	Blanks	Alphanumeric	

Trailer record

Start	Length	Content	Type	Notes
1	1	Record type (T)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Count	Numeric	16
22	279	Blanks	Alphanumeric	

GCE UNIT RESULTS FILE (UCAS)

Header Record

Start	length	content	type	notes
1	1	Record type(H)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Date and time file created	Numeric	6
22	149	Blanks	Alphanumeric	

Personal details' record

Start	Length	Content	Type	Notes
1	1	Record type (P)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	40	Candidate Name	Alphanumeric	9
57	1	Sex	Alphanumeric	10
58	6	Date of Birth	Numeric	11
64	1	External/Private indicator	Alphanumeric	12
65	13	UCI	Alphanumeric	20
78	13	UPN	Alphanumeric	21
91	10	ULN	Numeric	26
101	70	blanks	Alphanumeric	

Subject details' record

Start	Length	Content	Type	Notes
1	1	Record type (S)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	2	Blanks	Alphanumeric	
8	5	National Centre Number	Numeric	7
13	4	Candidate Number	Numeric	8
17	6	Cash in Code (result subject/opt code)	Alphanumeric	22
23	8	QAN	Alphanumeric	27
31	4	Pathways	Numeric	28
35	4	Total UMS	Alphanumeric	25
39	6	Unit Code1	Alphanumeric	23
45	1	Unit Code1 Grade	Alphanumeric	24
46	3	Unit Code1 ums	Alphanumeric	25
49	1	Unit Code1 partial absence	Alphanumeric	25
50	6	Unit Code2	Alphanumeric	23
56	1	Unit Code2 Grade	Alphanumeric	24
57	3	Unit Code2 ums	Alphanumeric	25
60	1	Unit Code2 partial absence	Alphanumeric	25
61	6	Unit Code3	Alphanumeric	23
67	1	Unit Code3 Grade	Alphanumeric	24
68	3	Unit Code3 ums	Alphanumeric	25
71	1	Unit Code3 partial absence	Alphanumeric	25

72	6	Unit Code4	Alphanumeric	23
78	1	Unit Code4 Grade	Alphanumeric	24
79	3	Unit Code4 ums	Alphanumeric	25
82	1	Unit Code4 partial absence	Alphanumeric	25
83	6	Unit Code5	Alphanumeric	23
89	1	Unit Code5 Grade	Alphanumeric	24
90	3	Unit Code5 ums	Alphanumeric	25
93	1	Unit Code5 partial absence	Alphanumeric	25
94	6	Unit Code6	Alphanumeric	23
100	1	Unit Code6 Grade	Alphanumeric	24
101	3	Unit Code6 ums	Alphanumeric	25
104	1	Unit code6 partial absence	Alphanumeric	25
105	6	Unit Code7	Alphanumeric	23
111	1	Unit Code7 Grade	Alphanumeric	24
112	3	Unit Code7 ums	Alphanumeric	25
115	1	Unit code7 partial absence	Alphanumeric	25
116	6	Unit Code8	Alphanumeric	23
122	1	Unit Code8 Grade	Alphanumeric	24
123	3	Unit Code8 ums	Alphanumeric	25
126	1	Unit code8 partial absence	Alphanumeric	25
127	6	Unit Code9	Alphanumeric	23
133	1	Unit Code9 Grade	Alphanumeric	24
134	3	Unit Code9 ums	Alphanumeric	25
137	1	Unit Code9 partial absence	Alphanumeric	25
138	6	Unit Code10	Alphanumeric	23
144	1	Unit Code10 Grade	Alphanumeric	24
145	3	Unit Code10 ums	Alphanumeric	25
148	1	Unit Code10 partial absence	Alphanumeric	25
149	6	Unit Code11	Alphanumeric	23
155	1	Unit Code11Grade	Alphanumeric	24
156	3	Unit Code11 ums	Alphanumeric	25
159	1	Unit Code11 partial absence	Alphanumeric	25
160	6	Unit Code12	Alphanumeric	23
166	1	Unit Code12 Grade	Alphanumeric	24
167	3	Unit Code12 ums	Alphanumeric	25
170	1	Unit Code12 partial absence	Alphanumeric	25

Trailer record

Start	Length	Content	Type	Notes
1	1	Record type (T)	Alphanumeric	1
2	2	Sending organisation code	Numeric	2
4	2	Receiving organisation code	Numeric	2
6	1	Data type	Alphanumeric	3
7	1	Examination type	Alphanumeric	4
8	4	Date of examination series	Numeric	5
12	10	Count	Numeric	16
22	149	Blanks	Alphanumeric	

DIPLOMA BASEDATA FILE

File Header record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'G')	Alphanumeric	
2	1	Record type (Value '1')	Numeric	
3	2	Series Code (Value '06')	Alphanumeric	33
5	2	Series Year	Numeric	34
7	1	Language ('_' if English)	Alphanumeric	
8	2	Sending organisation code (Awarding Body)	Numeric	2
10	2	Series Code (Value '06')	Alphanumeric	33
12	2	Series Year	Numeric	34
14	1	Distribution type (Value 'B')	Alphanumeric	
15	7	Software Package	Alphanumeric	
22	3	Software Package Version	Alphanumeric	
25	2	Formats Version (Value '12')	Numeric	
27	65	Pad with spaces	Alphanumeric	

Centre Header record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'G')	Alphanumeric	
2	1	Record type (Value '3')	Numeric	
3	2	Series Code (Value '06')	Alphanumeric	33
5	2	Series Year	Numeric	34
7	1	Language ('_' if English)	Alphanumeric	
8	2	Sending organisation code (Awarding Body)	Numeric	2
10	2	Series Code (Value '06')	Alphanumeric	33
12	2	Series Year	Numeric	34
14	3	Sequence Number	Numeric	35
17	75	Pad with spaces	Alphanumeric	

Detail record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'G')	Alphanumeric	
2	1	Record type (Value '5')	Numeric	
3	8	Qualification Accreditation Number (QAN)	Alphanumeric	29
11	4	QAN Suffix	Alphanumeric	30
15	8	Generic QAN	Alphanumeric	29
23	4	Generic QAN Suffix	Alphanumeric	30
27	4	Exam type: Qualification Title (Value 'DPL ')	Alphanumeric	
31	3	Exam type: Level	Alphanumeric	31
34	1	Exam type: Item (Value 'C')	Alphanumeric	
35	1	Exam type: Process (Value 'D')	Alphanumeric	
36	45	Line of Learning	Alphanumeric	32
81	1	Fee Defined	Alphanumeric	36
82	5	Fee	Numeric	37
87	1	Results Type (Value '1')	Alphanumeric	
88	4	Gradeset	Alphanumeric	38

Centre trailer record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'G')	Alphanumeric	
2	1	Record type (Value '7')	Numeric	
3	2	Series Code (Value '06')	Alphanumeric	33
5	2	Series Year	Numeric	34
7	1	Language ('_' if English)	Alphanumeric	
8	7	Number of Records	Numeric	39
15	6	Date file produced	Numeric	40
21	71	Pad with spaces	Alphanumeric	

File trailer record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'G')	Alphanumeric	
2	1	Record type (Value '9')	Numeric	
3	2	Series Code (Value '06')	Alphanumeric	33

5	2	Series Year	Numeric	34
7	1	Language ('_' if English)	Alphanumeric	
8	7	Number of Records	Numeric	39
15	7	Pad with zeros	Numeric	
22	70	Pad with spaces	Alphanumeric	

DIPLOMA RESULTS (and PRESENTATIONS) FILE

File Header record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'H')	Alphanumeric	
2	1	Record type (Value '1')	Numeric	
3	2	Series Code (Value '06')	Alphanumeric	33
5	2	Series Year	Numeric	34
7	1	Language ('_' if English)	Alphanumeric	
8	2	Sending organisation code (Awarding Body)	Numeric	2
10	2	Series Code (Value '06')	Alphanumeric	33
12	2	Series Year	Numeric	34
14	1	Distribution type (value 'M')	Alphanumeric	
15	7	Software Package	Alphanumeric	
22	3	Software Package Version	Alphanumeric	
25	2	Formats Version (Value '12')	Numeric	
27	80	Pad with spaces	Alphanumeric	

Centre Header record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'H')	Alphanumeric	
2	1	Record type (Value '3')	Numeric	
3	5	National Centre Number	Numeric	7
8	2	Sending organisation code (Awarding Body)	Numeric	2
10	2	Series Code (Value '06')	Alphanumeric	33
12	2	Series Year	Numeric	34
14	3	Sequence Number	Numeric	35
17	8	Centre Postcode	Alphanumeric	
25	82	Pad with spaces	Alphanumeric	

Detail record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'H')	Alphanumeric	
2	1	Record type (Value '5')	Numeric	
3	1	Transaction Type	Alphanumeric	17
4	5	National Centre Number	Numeric	7
9	8	UKPRN	Alphanumeric	41
17	40	Candidate Name	Alphanumeric	9
57	1	Sex	Alphanumeric	10
58	6	Date of Birth	Numeric	11
64	10	ULN	Numeric	26
74	8	Qualification Accreditation Number (QAN)	Alphanumeric	29
82	4	QAN Suffix	Alphanumeric	30
86	8	Generic QAN	Alphanumeric	29
94	4	Generic QAN Suffix	Alphanumeric	30
98	1	Result Type (Value '1')	Alphanumeric	
99	2	Grade	Alphanumeric	44
101	6	Award Date	Numeric	42, 44

Centre trailer record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'H')	Alphanumeric	
2	1	Record type (value '7')	Numeric	
3	5	National Centre Number	Numeric	7
8	7	Number of Records	Numeric	39
15	6	Date file produced	Numeric	40
21	86	Pad with spaces	Alphanumeric	

File trailer record

Start	Length	Content	Type	Notes
1	1	File Type (Value 'H')	Alphanumeric	
2	1	Record type (Value '9')	Numeric	
3	2	Series Code (Value '06')	Alphanumeric	33
5	2	Series Year	Numeric	34
7	1	Language ('_' if English)	Alphanumeric	

8	7	Number of Records	Numeric	39
15	7	Number of Centres	Numeric	43
22	85	Pad with spaces	Alphanumeric	

Notes

1. Record type — H - Header; P - Personal details; S - Subject details; A - Amendment details; T – Trailer;
   In Diploma files, Numeric values are used as indicated

2. Identification of Organisation
   01 OCR
   02 CIE
   10 EDEXCEL   GCSE and ELC (A)
   11 EDEXCEL   GCE
   13 EDEXCEL   Registrations
   14 EDEXCEL   DiDA, Functional Skills, Diploma Principal Learning and Projects
   15 EDEXCEL   BTEC and Key Skills Assessments
   16 EDEXCEL   GVQ Individual Unit Registrations
   40 WJEC GCSE/Entry Level
   41 WJEC GCE (Advanced, AS), Key Skills and WBQ
   61 NICCEA GCSE, GCE (Advanced, AS) and Key Skills
   70 AQA

   72 OCR Key Skills and Nationals
   90 UCAS
   92 LEAP/SERAP

3. Data type — A - Archive; E - Entries; R – Results; U – Update to results

4. Examination type — There are two examination types. These are detailed below.

A Type qualifications	G Type Qualifications
Advanced GCE	GCSE
AS GCE	GCSE Short Course
Advanced Applied GCE Double	
Advanced Applied GCE	Entry Level
Advanced with AS Applied GCE	
AS Applied GCE Double	
AS Applied GCE	
AEA	
Advanced FSMQ	Foundation FSMQ
	Intermediate FSMQ
Key Skills Level 3	Key Skills Level 1
Key Skills Level 4	Key Skills Level 2
Principal Learning Level 3	Principal Learning Level 1
	Principal Learning Level 2
Extended Project	Project Level 1
	Project Level 2

5. Date of examination series — MMYY

6. Date and time file created — The Header record should contain the date (DDMMYY) and time in hours and minutes (HHMM) the file was created.

7. National Centre Number — As held in the NCN Register at OCR/UCLES

8. Candidate Number — Right justified with leading zeroes

9. Candidate Name — This should consist of the surname as the minimum. If forename(s)/initial(s) are given then these should follow the surname and be preceded by a colon.

10. Sex — F - Female; M - Male; N - Not known

11. Date of Birth — DDMMYY

12. Private/External Indicator — P-Private/external; default - blank (for internal candidate)

13. Subject/Option/Syllabus (S/O/S) codes — Each code will be 6 characters in length, left justified with trailing blanks where appropriate. Entry S/O/S code is to identify the context of an examination entry; Result S/O/S code is to identify the context of an examination grade.
    The Result S/O/S code must always be present, however the Entry S/O/S code will only be present if different from Result S/O/S code.

14. Grades — All grades are identified by position and will take the values used for certification and the agreed characters for candidates not receiving a grade or being classified as ungraded.
    All grades right justified.

15. Partial absence — The symbol to denote that the grade or endorsement was awarded although the candidate was absent for one or more components. The symbol is #.

16.	Count	The trailer record should give the total number of records in the file (including header and trailer records), right justified with leading zeroes.
17.	Transaction Type	'I' where there is a new result record which does not replace an existing one. 'U' where there is a change to a previously sent result. 'D' where a previously sent result is cancelled. 'P' where the record is contained in a Presentation file. Where a candidate changes an entry and/or a result is issued in a different option to the original one, this will be implemented by a deletion transaction of the original result and an insertion transaction of the new result, ie 2 records of type 'A'.
18.	Previous details	Previous result details - this will always be blank if the transaction type is 'I'. It may also be left blank at individual UAB's discretion in other transaction types.
19.	New details	New result details - this will always be blank if the transaction type is 'D'.
20.	UCI	This is the 13 character Unique Candidate Identifier (UCI) used by awarding bodies.
21	UPN	This is the 13 character Unique Pupil Number (UPN) used by the DfES and the National Assembly of Wales. Data will only be included for authorised recipients.
22	Cash in Code	Each code will be 6 characters in length, left justified with trailing blanks where appropriate. The code used will mirror the Result subject/option code used on the Results File.
23	Unit Codes(1-12)	Each code will be 6 characters in length, left justified with trailing blanks where appropriate. For 3 unit qualifications – Unit code information will be provided, where appropriate, for units 1 –3. Unit codes 4-12 will be filled with spaces. For 6 unit qualifications – Unit code information will be provided, where appropriate, for units 1 –6. Unit code information for units 7-12 will be filled with spaces.
24	Unit Grade(1-12)	Unit Grade will be lower case – valid grades a, b, c, d, e, u, q, x
25	Total UMS Unit Code UMS (1-12) Unit Code Partial Absence (1-12)	All these fields will be filled with spaces.
26	ULN	This is the 10 digit Unique Learner number
27	QAN	Qualification Accreditation Number (now known as Qualification Number or QN)
28	Pathways Field	
29	Qualification Accreditation Numbers for Diplomas	Unlike other qualifications accredited by QCA, Diplomas have two QANs: the official QAN will appear on a learner's certificate; the Generic QAN is used to identify a specific Diploma during transactions with the Diploma aggregation service (DAS). Diploma Awarding Bodies (DABs) will supply generic QANs in Diploma basedata to allow MIS providers to link results information to data stored during interactions with the DAS
30	QAN Suffix	Will only apply to some Diplomas
31	Examination Type: Level	There are 4 Diploma levels: 'F' Foundation; 'H' Higher; 'A' Advanced; 'P' Progression
32	Line of Learning	This will be the full name of a Diploma line of learning
33	Series Code	To support reporting of results for an academic year, a concept of a series will need to be introduced, even though there is no Diploma series. ABs will use June, ie 06, as the exam series.
34	Series Year	Last 2 digits of the relevant academic year end, eg '10' for 2009-2010
35	Sequence Number	The sequence number is intended to indicate the order in which files were produced and hence the order in which they should be processed
36	Fee Defined	'Y' or 'N' (if 'N' then 'Examination Fee' is not defined)
37	Examination Fee	In pence right justified with leading zeros
38	Gradeset	References the valid set of grades which may be presented in the corresponding field of the Results file
39	Number of Records	Right justified with leading zeros. The number of records in the Centre Trailer record should be a count of the records from and including the preceding Centre Header, to and including that Centre Trailer. The number of records in the File Trailer should be a count of all the records in the file including the File Header and File Trailer records.
40	Date File Produced	In form DDMMYY
41	UKPRN	United Kingdom Provider Reference Number
42	Award Date	In form MMCCYY

43	Number of Centres	Effectively a count of how many File Header (or File Trailer) records are contained in the file
44	Presentation Data	When the Diploma Results file is being supplied as a Presentation file the Grade and Award Date fields will be left blank
45	*Subject/option/syllabus title*	*Each title will be left justified with trailing blanks where appropriate.* *Entry S/O/S title is to identify the context of an examination entry; Result S/O/S title is to identify the context of an examination grade.* *The Result S/O/S title must always be present, however the Entry S/O/S title will only be present if different from Result S/O/S title.* *The title is not case sensitive so may be supplied in upper, title or lower case.*
46	*First entry data*	*DDMMYY. The date of the First examination paper for this qualification in this examination series, or the date the coursework has to be submitted by where the qualification is coursework driven and no exam is taken.*